UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

DEKA INVESTMENT GMBH, Individually and on

Behalf of All Others Similarly Situated,

Civil Action No. 3:15-cv-02129-K 888 **CLASS ACTION**

Plaintiffs,

Hon. Ed Kinkeade

VS.

SANTANDER CONSUMER USA HOLDINGS INC., et al.,

Defendants.

§ §

PROOF OF CLAIM AND RELEASE

I. **GENERAL INSTRUCTIONS**

- To recover as a Class Member based on your claims in the action entitled *Deka Investment* GmbH v. Santander Consumer USA Holdings Inc., et al., Civil Action No. 3:15-cv-02129-K (the "Action"), you must complete and, on page 5 hereof, sign this Proof of Claim and Release. If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Proof of Claim and Release, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed Settlement of the Action.
- Submission of this Proof of Claim and Release, however, does not assure that you will share in the proceeds of the Settlement of the Action.
- YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED PROOF OF CLAIM AND RELEASE ON OR BEFORE JANUARY 4, 2021, ADDRESSED AS FOLLOWS:

SCUSA Securities Settlement Claims Administrator c/o Gilardi & Co. LLC P.O. Box 43380 Providence, RI 02940-3380

Online submissions: www.SCUSASecuritiesSettlement.com

If you are NOT a member of one or both of the Classes (as defined in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice")), DO NOT submit a Proof of Claim and Release form.

If you are a member of one or both of the Classes and you do not timely request exclusion in connection with the proposed Settlement, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM AND RELEASE FORM.

II. **CLAIMANT IDENTIFICATION**

If you purchased or otherwise acquired Santander Consumer USA Holdings Inc. ("SCUSA" or the "Company") common stock during the period between January 23, 2014 and June 12, 2014, inclusive, including purchases in or traceable to SCUSA's January 23, 2014 initial public offering ("IPO") and held the shares in your name, you are the beneficial purchaser or acquirer as well as the record purchaser or acquirer. If, however, you purchased or otherwise acquired SCUSA common shares that were registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial purchaser or acquirer and the third party is the record purchaser or acquirer.

If you are acting in a representative capacity on behalf of a Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. All such claimants MUST also submit a manually signed paper Proof of Claim and Release form listing all their transactions whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must contact the Claims Administrator at edata@gilardi.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgement of receipt and acceptance of electronically submitted data.

III. CLAIM FORM

Use Part II of this form entitled "Schedule of Transactions in SCUSA common stock" to supply all required details of your transaction(s) in SCUSA common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to **all** of your purchases or acquisitions and **all** of your sales of SCUSA common stock between January 23, 2014 and September 8, 2014, inclusive, whether such transactions resulted in a profit or a loss. You must also provide all of the requested information with respect to **all** of the SCUSA common stock you held at the close of trading on June 12, 2014 and September 8, 2014. Failure to report all such transactions may result in the rejection of your claim.

List these transactions separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

Copies of stockbroker confirmation slips, stockbroker statements, or other documents evidencing your transactions in SCUSA common stock should be attached to your claim. If any such documents are not in your possession, please obtain a copy or equivalent documents from your broker because these documents are necessary to prove and process your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.

Official Office Use Only



UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS

Deka Investment GmbH v. Santander Consumer USA Holdings Inc., et al.

Civil Action No. 3:15-cv-02129-K

PROOF OF CLAIM AND RELEASE

<u>Please Type or Print in the Boxes Below</u> Do <u>NOT</u> use Red Ink, Pencil, or Staples Must Be Postmarked (if Mailed) or Received (if Submitted Online) No Later Than January 4, 2021

S2A

PART I: CLAIMANT IDENTIFICATION		
Last Name	M.I.	First Name
Last Name (Co-Beneficial Owner)	M.I.	First Name (Co-Beneficial Owner)
IRA Joint Tenancy Employee	Individ	ual Other
Company Name (Beneficial Owner—If Claimant is not an Individual) o	r Custo	dian Name if an IRA (specify)
Trustee/Asset Manager/Nominee/Record Owner's Name (If Different f	rom Ber	neficial Owner Listed Above)
Account#/Fund# (Not Necessary for Individual Filers)		
Last Four Digits of Social Security Number Taxpayer Identification	tion Nun	nber
or —		
Telephone Number (Primary Daytime) Telephone Num	ber (Alte	ernate)
Email Address		
MAILING INFORMATION		
Address		
Address		
City	Stat	e ZIP Code
Foreign Province Foreign Postal Code	е	Foreign Country Name/Abbreviation
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PART II. SCHEDULE OF TRANSACTIONS IN SCUSA COMMON STOCK

A. Purchases or acquisitions of SCUSA common stock between January 23, 2014 and September 8, 2014, inclusive:

PUI	RCHASES ————							
				otal Purchase or ition Price (Excluding	r			
_			Co	mmissions, Taxes	Proof of			
	rade Date(s) of Shares (List Chronologically)	Number of Shares Purchased or Acquired		es). Please round of nearest whole dollar				
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1.	/		\$		00 N			
2.	/ /		\$		00 Y			
3.	/ /		\$		00 Y			
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5.	1		\$		00 Y			
	: (i) If any purchase listed covered	·						
	eived shares through an acquisition		date, the sha					
M M	D D Y Y Y Y	Merger Shares:		Company	<i>r</i> :			
/								
B. Sales of SCUSA common stock between January 23, 2014 and September 8, 2014, inclusive:								
		anuary 23, 2014 and Septemb	er 8, 2014, ir	nclusive:				
	SCUSA common stock between J ES	anuary 23, 2014 and Septemb	T	otal Sales Price				
		anuary 23, 2014 and Septemb	T (Excl		Proof of			
SAL	rade Date(s) of Shares	Number of Shares	T (Excli Ti Pl	otal Sales Price uding Commissions, axes and Fees). ease round off to	Sales			
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SAL Tr M M 1. 2. 3. 4. 5.	rade Date(s) of Shares (List Chronologically) D D Y Y Y Y / / / / / / / / / / / / / / /	Number of Shares Sold	T (Exclusive points) Plusthe n	otal Sales Price uding Commissions, axes and Fees). ease round off to rearest whole dollar	Sales Enclosed? OO N OO N OO N OO N OO N OO N			

If you require additional space, attach extra schedules in the same format as above. Sign and print your name on each additional page.

YOUR SIGNATURE ON PAGE 5 WILL CONSTITUTE YOUR ACKNOWLEDGMENT OF THE RELEASE.



SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS IV.

I (We) submit this Proof of Claim and Release under the terms of the Settlement Agreement described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Northern District of Texas with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Action. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with the purchase or acquisition of SCUSA common stock and know of no other person having done so on my (our) behalf.

- I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally and forever settle, release and 1. discharge the Released Plaintiffs' Claims (as defined in the Notice) from each and all of the Released Parties (as defined in the Notice) as provided under the Settlement Agreement.
- I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily 2. or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
- I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions, and sales of SCUSA common stock between January 23, 2014 and September 8, 2014, inclusive, and the number of shares of SCUSA common stock held by me (us) at the close of trading on June 12, 2014 and September 8, 2014.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Executed this	day of	in			
	1)	Month/Year)		(City/State/Country)	
(Sign your name here)			(Sign your name	e here)	
(Type or print your name here)		(Type or print yo	our name here)	
(Capacity of person(s) signing Beneficial Purchaser or Acquir	-	nistrator)		rson(s) signing, e.g., naser or Acquirer. Executor or Administrator)	

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME. THANK YOU FOR YOUR PATIENCE.

Reminder Checklist:

- 1. Please sign the above release and acknowledgment.
- 2. If this claim is being made on behalf of Joint Claimants, then both must sign.
- 3. Remember to attach copies of supporting documentation, if available.
- 4. Do not send originals of certificates or other documentation as they will not be returned.
- 5. Keep a copy of your Proof of Claim and Release and all supporting documentation for your records.
- 6. If you desire an acknowledgment of receipt of your Proof of Claim and Release please send it Certified Mail, Return Receipt Requested.
- 7. If you move, please send your new address to the address below.
- 8. Do not use highlighter on the Proof of Claim and Release or supporting documentation.

THIS PROOF OF CLAIM AND RELEASE MUST BE SUBMITTED ONLINE OR MAILED, **NO LATER THAN JANUARY 4, 2021, ADDRESSED AS FOLLOWS:**

SCUSA Securities Settlement Claims Administrator c/o Gilardi & Co. LLC P.O. Box 43380 Providence, RI 02940-3380

Online submissions: www.SCUSASecuritiesSettlement.com



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